



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Adam J. Simon

Serial No.: 09/862,850

Examiner: not yet known

Filed: May 22, 2001

Group Art Unit: 1615

For: SYSTEM AND METHOD FOR ASSESSING THE
PERFORMANCE OF A PHARMACEUTICAL
AGENT DELIVERY SYSTEM

CERTIFICATE UNDER 37 CFR 1.8(a)
I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE
AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO ASSISTANT COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231.

BY Stephan P. Gribok
REG. NO. 29643
DATE 8-13-01

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicant filed a signed Declaration with a Communication on July 16, 2001. Copies are attached. Subsequently, a Notice to File Missing Parts of Nonprovisional Application (copy attached) was received by Applicant. However the missing parts were already filed.

Applicant requests prompt telephone notice if the signed Declaration that was submitted in anticipation of the Notice to File Missing Parts is not found of record in the file.

Respectfully submitted,

Dated: Aug. 13, 2001

Stephan P. Gribok
Stephan P. Gribok
Registration No. 29,643
DUANE, MORRIS & HECKSCHER, LLP
One Liberty Place
Philadelphia, PA 19103
215-979-1283

Docket No: D6763-00001
PH1873337.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,850	05/22/2001	Adam J. Simon	D6763-00001

08933
WILLIAM H. MURRAY
DUANE MORRIS & HECKSCHER LLP
ONE LIBERTY PLACE
PHILADELPHIA, PA 19103-7396

CONFIRMATION NO. 9798

FORMALITIES LETTER



OC000000006376713

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

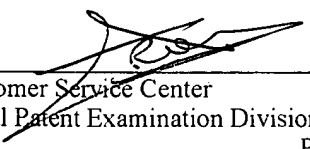
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DU20
8-10-01

Sector

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BY Stephan P. Gribok
REG. NO. 29643
DATE 8-13-01

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sirs:

Please find enclosed for filing:

- ☒ Response to Notice to File Missing Parts
- ☒ Should any additional fees be incurred please debit Deposit Account
No. 04-1679. This Transmittal Letter is submitted in duplicate.
- ☒ Other: Copy of Notice to File Missing Parts of Nonprovisional Application; Copy
of Signed Declaration and Communication filed July 16, 2001; Return Postcard.

Respectfully submitted,

Dated: Aug. 13, 2001

Stephan P. Gribok
Stephan P. Gribok
Registration No. 29,643
DUANE, MORRIS & HECKSCHER, LLP
One Liberty Place
Philadelphia, PA 19103
215-979-1283

Docket No: D6763-00001



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)**130.00**

Complete if Known

Application Number **09/862,850**

Filing Date **May 22, 2001**

First Named Inventor **Adam J. Simon**

Examiner Name **NOT YET KNOWN**

Group Art Unit **NOT YET KNOWN**

Attorney Docket No. **D6763-00001**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number
Deposit
Account
Name

04-1679

DUANE, MORRIS & HECKSCHER LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75

Fee Description

Fee Paid

Utility filing fee	
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) (\$)**0**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	-20** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Independent Claims	-3** = <input type="text"/>	X <input type="text"/>	= <input type="text"/>
Multiple Dependent			= <input type="text"/>

Large Entity Fee Code	Small Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)
103	18	203	9
102	80	202	40
104	270	204	135
109	80	209	40
110	18	210	9

Fee Description

Claims in excess of 20	
Independent claims in excess of 3	
Multiple dependent claim, if not paid	
**Reissue independent claims over original patent	
**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)**-0-**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900

Fee Description

Fee Paid

Surcharge - late filing fee or oath	130
Surcharge - late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for <i>ex parte</i> reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for reply within first month	
Extension for reply within second month	
Extension for reply within third month	
Extension for reply within fourth month	
Extension for reply within fifth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive - unavoidable	
Petition to revive - unintentional	
Utility issue fee (or reissue)	
Design issue fee	
Plant issue fee	
Petitions to the Commissioner	
Processing fee under 37 CFR 1.17(q)	
Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties)	
Filing a submission after final rejection (37 CFR § 1.129(a))	
For each additional invention to be examined (37 CFR § 1.129(b))	
Request for Continued Examination (RCE)	
Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130**

SUBMITTED BY

Name (Print/Type) **STEPHAN P. GRIBOK**

Registration No.
(Attorney/Agent) **29,643**

Complete (if applicable)

Telephone **215-979-1283**

Signature

Stephan Gribok

Date

7-16-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO 2035.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.